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2 ONTOLOGY AND TELEOFUNCTIONS: A DEFENSE
 3 AND REVISION OF THE SYSTEMATIC ACCOUNT
 4 OF TELEOLOGICAL EXPLANATION

5 ABSTRACT. I defend and revise the systematic account of normative functions
 6 (teleofunctions), as recently developed by Gerhard Schlosser and by W. D. Chris-
 7 tensen and M. H. Bickhard. This account proposes that teleofunctions are had by
 8 structures that play certain kinds of roles in complex systems. This theory is an
 9 alternative to the historical etiological account of teleofunctions, developed by Ruth
 10 Millikan and others. The historical etiological account is susceptible to a general
 11 ontological problem that has been under-appreciated, and that offers important
 12 reasons to adopt the systematic account. However, the systematic account must be
 13 revised to allow for two distinct kinds of teleofunctions in order to avoid another
 14 ontological problem.
 15

1.

17 The vibrant debate that has grown around the issue of biological
 18 purpose and other kinds of normative functions (hereafter *teleo-*
 19 *functions*) has largely focussed upon the question of whether a
 20 description of teleofunctions can be developed which allows us to
 21 make use of them in theories like biology or psychology, but which
 22 does not refer to scientifically illegitimate entities like final causes or
 23 irreducible purposes. There has been great progress in understanding
 24 and answering this question, especially in the development of the
 25 historical, etiological account of teleofunctions. This account, as
 26 developed most notably by Ruth Millikan, is a substantial theoretical
 27 achievement both in describing how there might be a scientific theory
 28 of teleofunctions, and also in applying this account of teleofunctions
 29 to solve problems in the philosophy of language and the philosophy
 30 of mind. However, the historical etiological account has also been
 31 found vulnerable to a number of important criticisms. One of the
 32 most important, but least appreciated or developed, of these criti-
 33 cisms is the recognition of what I will call the *ontological problem*:
 34 granted that an account of teleofunctions does not ostensibly make



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35 reference to scientifically illegitimate kinds, is the property of having
 36 a purpose, as described by the theory, a kind that a naturalist would
 37 want to admit? We might refer only to scientifically legitimate kinds
 38 in our theory, but do so in a way that admits entities that we would
 39 prefer to avoid and that we can do without. I shall argue that the
 40 historical etiological account does just this. These specific ontological
 41 difficulties for the historical etiological account are best addressed by
 42 the systematic account of teleofunctions, recent versions of which
 43 have been developed by Schlosser (1998) and by Christensen and
 44 Bickhard (2002). However, this theory is itself vulnerable to an
 45 ontological challenge which has not previously been described. This
 46 challenge in turn reveals that for many applications of a theory of
 47 teleofunctions, our best explanation will make use of two different
 48 accounts of systematic teleofunctions.

49 My task in this paper is therefore constructive: I defend the sys-
 50 tematic account of teleofunctions on grounds of a hitherto unap-
 51 preciated benefit, and develop a revision of this theory that clarifies
 52 and strengthens this benefit. I proceed as follows: In Section II, I
 53 review the historical etiological account of teleofunctions, and the
 54 problems that it faces, with special attention given to the ontological
 55 problem. In Section III, I review the systematic account, and show
 56 how it escapes the challenges to the historical account, but as pres-
 57 ently best formulated it also glosses over an important ontological
 58 difficulty. In Sections IV and V, I argue that the solution to this
 59 ontological difficulty is to adopt two different accounts of systematic
 60 teleofunctions. In conclusion, I grant some remaining challenges to
 61 the systematic account.

2.

63 The challenge to accounting for teleofunctions is to explain how some
 64 structure can have a purpose. We say, for example, that the purpose
 65 of a human heart is to pump blood. It is not sufficient to note that any
 66 particular heart pumps blood, nor even that most hearts pump blood,
 67 since the notion of purpose is a normative one: it tells us what a thing
 68 *should* be doing, or what it is (in some sense) *meant* to do, and not
 69 necessarily what it is or was or will be doing. This is clear when we
 70 recognize that a heart can be *dysfunctional*: it can fail to serve its
 71 purpose. Also, many kinds of structures are such that many instances
 72 do not serve their function, so that we cannot even refer to their

73 typical behavior. To use an example of Ruth Millikan's: we say that
 74 sperm have the purpose of inseminating an egg, and yet the over-
 75 whelming majority of sperm in any particular mammal will not
 76 accomplish this purpose.

77 A clarification is required at this point. It is not uncommon in the
 78 now substantial literature on teleofunctions to talk of teleofunctions
 79 being had by structures (e.g., hearts), events (e.g., a heart beat), and
 80 even by seemingly abstract entities (e.g., Millikan allows individual
 81 words). In what follows, I will be concerned with teleofunctions as
 82 properties of structures of organisms. I will focus on structures for at
 83 least three reasons. First, although it is quite clear how an event may
 84 be said to have a teleofunction (the beating of a heart has a clear
 85 purpose), there are substantial differences between events and struc-
 86 tures having teleofunctions. An event which has a teleofunction may
 87 in part constitute that teleofunction; thus, the beating of a heart may
 88 reasonably be called part of pumping blood. Instead, the heart itself
 89 can only be said to have (that is, to be necessary and in some re-
 90 stricted sense sufficient for) a capability for an activity (which may
 91 indeed be beating) which is necessary for and may (in part) constitute
 92 the purposeful behavior. Thus, the situations can be quite different,
 93 and we should be careful not to confuse them. Second, in what fol-
 94 lows I will argue that we need to refer to an organism or other system
 95 in order to identify teleofunctions. It is easier to properly identify and
 96 place a structure in a system than it is to place an event in one; an
 97 organism, in fact, is a collection of functional structures (organs). I
 98 grant that an organism's life is a collection of events, but I see no way
 99 to specify this collection except by referring to the actual structures
 100 that enable those events. Third, I believe the ontology of structures is
 101 more conservative, and more manageable, than an ontology of
 102 events. In fact, without being shown some alternative method, one
 103 should expect that an ontology of events for a teleofunctional theory
 104 will ultimately make reference to structures, or at least organisms, to
 105 properly distinguish and categorize the relevant events (see Section
 106 V). None of these points is meant to be a refutation of the idea that
 107 events can have teleofunctions; rather, they are reasons to believe that
 108 focussing on structures is a more conservative position. A focus on
 109 structures is sufficient to make the arguments that I want to make in
 110 this paper. In the case where an events-based teleofunctional theory is
 111 relevant to my argument, I will discuss this alternative.

112 Because they are normative, teleofunctions appear at first consid-
 113 eration to be scientifically illegitimate. Superficially, it would seem



114 that science can tell us about causes, and about history, but not about
 115 what should be the case. This leaves us with a serious problem: biol-
 116 ogy, psychology, and related disciplines require talk about teleo-
 117 functions, but it would seem that teleofunctions have no place in a
 118 naturalistic theory. The principal challenge is therefore to explain how
 119 a science or a naturalistic world view can countenance purpose, or to
 120 explain how biology and other sciences are going to get along without
 121 teleofunctions. Valuable work has been done taking the former ap-
 122 proach, developing accounts of teleofunctions that do not take pur-
 123 pose as a primitive but rather analyze it using naturalist terms.

124 An early, and powerful, general formulation of teleofunctions
 125 comes from Wright (1976). Wright worked to capture the general
 126 features of teleofunctions, and developed a schema to describe, and
 127 potentially to reduce, a teleofunctional claim. I paraphrase his sche-
 128 ma (1976, 81) here:

- 129 *S* has teleofunction *F* iff:
 130 (1) *S* does (has as a consequence) *F*;
 131 (2) *S* exists because it does *F*.

132 Wright's schema has proved very robust, and all of the contemporary
 133 theories of teleofunctions can be understood and paraphrased as
 134 some version of it. As formulated, this schema leaves unclear what
 135 the proper role of particulars and kinds are, but *S* is clearly a kind;
 136 clause (1) is straight-forward in that we surely want it to be the case
 137 that instances of the structure do the thing it has as its teleofunction,
 138 but we must caveat this by noting that only some instances of the
 139 structure may perform this teleofunction (again, the case of sperm is
 140 an illustrative example); and clause (2) can refer to either some or all
 141 instances of *S*, depending upon one's explanation of how this clause
 142 will be satisfied.

143 It is clause (2) that requires the most explanation, for in what sense
 144 can such a structure be said to exist *because* it serves this function?
 145 This is where all of the heavy lifting has been done in developing
 146 theories of teleofunctions. The most successful such theories have
 147 been etiological accounts, which cash out clause (2) by holding that in
 148 natural organisms the structure is there after having survived natural
 149 selection because it does *F*.

150 Millikan has developed (1984, 1993), and most fruitfully applied, a
 151 historical etiological account. An independent but similar develop-
 152 ment of a historical etiological account is in Neander (1991a, b).
 153 Millikan's formulation is distinct from Wright's, but can be

154 reformulated into it. To paraphrase and simplify: Millikan argues
 155 that a kind of structure has a teleofunction if some instances some-
 156 times perform the relevant action and also all present instances (that
 157 have the teleofunction) belong to a *reproductively established family*
 158 in part because some instances of this family in the past sometimes
 159 performed that action. In nature, organisms and their organs can be
 160 such reproductively established families. Instances of these relevant
 161 structure types can be reproduced in part because former instances of
 162 the type gave some selection advantage to the organisms they com-
 163 pose, and this selection advantage came because these structures or
 164 events undertake the particular actions that are their teleofunction.
 165 Other kinds of teleofunctions can arise as a product of these relevant
 166 structures but not be directly selected for, resulting in what Millikan
 167 calls *derived* functions. Thus (Millikan 1993, 13–14):

168 To put things very roughly, for an item *A* to have a function *F* as a “proper func-
 169 tion”, it is necessary (and close to sufficient) that one of these two conditions should
 170 hold. (1) *A* originated as a “reproduction” (to give one example, as a copy, or a copy
 171 of a copy) of some prior item or items that, *due* in part to possession of the properties
 172 reproduced, have actually performed *F* in the past, and *A* exists because (causally
 173 historically because) of this or these performances. (2) *A* originated as the product of
 174 some prior device that, given its circumstances, had performance of *F* as a proper
 175 function and that, under those circumstances, normally causes *F* to be performed by
 176 means of producing an item like *A*.

177 Millikan has used this account to develop original insights in the phi-
 178 losophy of language. However, this historical etiological account faces
 179 some serious challenges. Most widely discussed have been the fact that
 180 structures may change their function after having been selected for, or
 181 otherwise perform a new teleofunction that has been only of utility in
 182 immediate history. In response to this concern, a number of different
 183 revisions to the historical etiological account have been offered. God-
 184 frey-Smith (1994) argues for a modern history theory, in which only the
 185 most recent selective advantage conferred by some structure should
 186 count as determining its teleofunction. Griffiths (1993) develops a
 187 similar proposal. These accounts attempt to retain the historical spirit
 188 of the historical etiological account. However, as Schlosser has ob-
 189 served (1998, 304), by limiting the period of time in which a functional
 190 role can be determined we can only reduce the number of changes in a
 191 teleofunction that fail to satisfy the etiological account; we do not alter
 192 the fundamental problem that it is what a structure is actually doing,
 193 and not what it did, that we refer to when we describe its present activity
 194 in teleofunctional terms. Bigelow and Pargetter (1987) propose a

195 propensity view, in which a structure has a teleofunction because of its
 196 propensity to improve fitness. Thus, a structure may have a teleo-
 197 function that was not yet selected for, but which tends to give the kind
 198 of advantage that typically will (eventually) be selected for. This drops
 199 the historical requirement, but retains the externalism of Millikan's
 200 account. However, the propensity account suffers from the fact that it is
 201 difficult to make clear what a propensity to improve survival is. A
 202 principal advantage to the etiological account was that it replaces such
 203 talk with concrete historical events.

204 The difficulty with the historical etiological account can be seen in
 205 a powerful common sense criticism: it fails to explain how actual field
 206 biology works. An ethologist, confronted with a new organism, is not
 207 stumped to explain what it is up to in its everyday behavior. Rather,
 208 she observes its activities, and ascribes to them purposes based on her
 209 understanding of organisms more generally. In her account of teleo-
 210 functions, Neander explicitly argues that a historical etiological
 211 account is an analysis of the current concept of biological function
 212 used by biologists. She addresses this objection about biological
 213 practice by arguing that to be acting consistently with the historical
 214 etiological account, scientists need only to be identifying as the teleo-
 215 function of some structure the very activity that it evolved to do
 216 (1991a, 176). In contrast, Millikan claims to be describing what teleo-
 217 functions are; she is not performing conceptual analysis of what
 218 "purpose" means, nor trying to describe the practice of biologists.
 219 Thus, Millikan could claim that the scientists who ascribe biological
 220 functions without reference to history are not identifying proper
 221 functions. Or, more likely, she could say that they are doing some-
 222 thing like drawing analogies: they see activities and assign to them
 223 teleofunctions as reasonable hypotheses, arising perhaps from
 224 understanding of genuine historical etiological teleofunctions, and all
 225 such hypotheses must ultimately answer to a historical etiological
 226 account. However, both responses do not address the real concern.
 227 Biologists and the biological sciences do not posit teleofunctions as
 228 historical, external entities, but rather as activities, generally of
 229 internal structures, that have current utility to the organism. If we can
 230 link a structure with its teleofunction without reference to (distant)
 231 history, and if our determining criteria are (or can be) sufficient to
 232 explain the existence of the teleofunction, then the history is not
 233 essential to the property of being a teleofunction.

234 This issue of how the field biologist must actually be working is
 235 really just an illustration of a broader issue, less discussed in the



236 literature, concerning a general ontological problem which arises for
 237 the radical historicism and externalism of the etiological account. In
 238 sum, the problem is that a teleofunction, according to the historical
 239 etiological account, is a very odd kind of property. The identity
 240 conditions of the property are in part external to, and in some
 241 important senses quite independent of, the relevant structure. Of
 242 course, there are many relational properties that we make use of, and
 243 they often have external determinants, but two features make the
 244 historical etiological account particularly problematic. First, the
 245 external features which determine the property can have their effect
 246 even if there is no relevant causal action on the structures in question
 247 or their operation. Second, the external features which determine the
 248 property can be wholly beyond the reach of measure or any other
 249 kind of verification. As a consequence of these features, a range of
 250 specific problems for teleofunctional explanation arise.

251 The first difficulty, concerning the lack of the relevant kind of
 252 causal action, arises because according to the etiological account,
 253 instances of structure kind *S* have as a teleofunction *F* only if external
 254 historical conditions are such that instances of *S* were selected for
 255 because they did *F*. Thus, whether *S* has a teleofunction is ultimately
 256 determined by factors external to instances of *S* and to the organism.
 257 This general concern has been raised to Millikan's account in a
 258 misleadingly exotic thought experiment of a pair of identical organ-
 259 isms. We are to imagine an organism that evolved, and also a perfect
 260 copy of the organism that appears *ex nihilo*. According to the etio-
 261 logical account, the evolved organism is composed of structures that
 262 have teleofunctions, where the exact duplicate has none:

263 Take any object, then, that has a proper function or functions, a purpose or pur-
 264 poses, and consider a double of it, molecule for molecule exactly the same. Now
 265 suppose that this double has just come into being through a cosmic accident resulting
 266 in the sudden spontaneous convergence of molecules which, until a moment ago, had
 267 been scattered about in random motion. Such a double has no proper functions
 268 because its history is not right. It is not a reproduction of anything, nor has it been
 269 produced by anything having proper function. Suppose, for example, that this
 270 double is your double. Suddenly it is sitting right there beside you. The thing that
 271 appears to be its heart does not, in fact, have circulating blood as a proper function,
 272 and when it scratches where it itches, the scratching has no proper function. (Mil-
 273 likan 1993, 17–18.)

274 Millikan bites this bullet, and argues that no such double ever has or
 275 could exist, and so the issue is moot. This response needs no debate
 276 since it turns on a misleading feature of this thought experiment:

277 nothing so exotic as instantaneous *ex nihilo* organisms is required.
 278 The *ex nihilo* organism is extremely unlikely to arise (so much so we
 279 are safe calling it impossible), but this does not change the fact that
 280 any structural change that has utility and which we might later call a
 281 teleofunction of that structure must arise *before* it can be selected for.
 282 The difference between what actually happens in evolution, and
 283 occurrence of an *ex nihilo* organism, is a matter of degree, not of
 284 kind. In evolution, any incremental change in a structure must ap-
 285 pear in a population and provide some benefits for some time before
 286 the change is selected. Thus, many situations like the following must
 287 have occurred: a population of some kind of organism is split into
 288 two, perhaps by some kind of geographical dividing of its habitat. In
 289 one group, instances of structure type *S* are doing *F*, and *S* spreads
 290 through the population just because of chance alone (alternative
 291 reasons include that *F* is connected somehow to another teleofunc-
 292 tion which is selected for – perhaps another teleofunction of *S* – but
 293 *F* is never the reason that *S* is selected for itself). In the other group,
 294 *S* is causing the same kind of things to happen in these organisms (it
 295 is doing *F*), but environmental conditions are slightly different, and *S*
 296 is selected for because of this activity *F*. The relevant features of *S*
 297 have spread through both populations, and are doing the same
 298 things. Thus, in these two populations, there is nothing in instances
 299 of *S* that is relevantly different from the perspective of its causal role
 300 in the organism, or even the immediate present environment. How-
 301 ever, the historical etiological account has it that in the latter popu-
 302 lation, *S* has as a purpose *F*, and in the former it does not. Being a
 303 teleofunction is, on such a view, determined by external criteria that
 304 may make no difference of any kind to the internal workings of the
 305 organism. Note also that it could be that in the former population *S*
 306 does *F* and *F* is even beneficial, but suppose that the environmental
 307 conditions are so propitious that the benefit never makes a significant
 308 difference in reproductive success. Again, doing *F*, and *F* having the
 309 same benefits, are insufficient for *F* to be a purpose in this popula-
 310 tion. Wholly external changes alone make the determination.

311 The second problem arises because on Millikan's account we need
 312 not even be able to verify in any way what the historical conditions
 313 are. That is, suppose that just before (within one generation of the
 314 time) we make our observations, the environments of the two
 315 relevant populations of organisms described above are destroyed by
 316 rampant clearcut logging. Many of their predators and their food
 317 sources are driven extinct by this action, but the organisms manage

318 to survive. No historical or fossil records exist of the prior envi-
 319 ronment. We then have it that organisms from the former population
 320 have structures of type S doing F , but F is not a purpose of structures
 321 of type S ; in the latter group, the organisms have structures of type S
 322 doing F , and F is a purpose of structures of type S . But we can never
 323 confirm this, and no measurement of any kind can determine be-
 324 tween these cases. We can of course be strong realists about teleo-
 325 functions, and accept that some things are forever beyond our
 326 knowing. But if we had an explanation of teleofunctions that was
 327 verifiable, it should count as a superior explanation to any naturalist.
 328 That is, suppose we have two ontologies O_1 and O_2 , that differ only
 329 in the positing of some property P_1 versus P_2 , and these ontologies
 330 have equivalent explanatory power, but in O_1 we cannot determine in
 331 any arbitrary case whether P_1 is present, whereas in O_2 we could in
 332 principle always determine for any arbitrary case whether P_2 was
 333 present. In such cases, for the naturalist at least, O_2 is always pref-
 334 erable to O_1 . I shall argue in the next section that we can replace the
 335 ontology of the historical etiological account with a verifiable sys-
 336 tematic account.

337 To observe that the externalism of the historical etiological ac-
 338 count makes teleofunctions peculiar entities is a highly general criti-
 339 cism. Even the now looming questions are abstract: do we want to
 340 have a kind of property in our naturalist ontology that can be present
 341 or absent without having any current causal effects, can potentially
 342 appear in structures where the determining factor (the right kind of
 343 history) can have no causal effect on the structure in question, and in
 344 any case may depend on historical events which cannot be confirmed?
 345 However, this historical externalism has more concrete and prob-
 346 lematic consequences. Such consequences can be illustrated when we
 347 apply the theory to more specific issues. Three such cases include the
 348 specification of content, the nature of phenomenal states, and the
 349 moral import of purposes.

350 (1) *Content*. This ontological problem of radical externalism for
 351 the historical etiological account has consequences for any theory
 352 that tries to make use of teleofunctions to account for representa-
 353 tional content. A theory of teleofunctions has powerful generality to
 354 help explain representation, in part because the most difficult aspect
 355 of representations to explain is their normative dimension (a repre-
 356 sentation can be incorrect), and this can be explained with teleo-
 357 functions. However, if we use an etiological theory of teleofunctions,
 358 the content of representations becomes something determined not by



359 the internal states of the organism that has the representations, but
 360 rather it is a function of the historical condition of the structures that
 361 enable them. Thus, in two organisms, say frogs, that are in all rele-
 362 vant ways similar, a particular state in one can mean 'fly', and the
 363 identical state in the other could mean 'beetle', because their histor-
 364 ical conditions were different. Millikan's program to explain repre-
 365 sentation and language embraces this surprising consequence. But
 366 one reason to reject such radical externalism is ontological: from the
 367 perspective of the goal to understand the mind, we may want to reject
 368 any approach which makes much of what we aim to explain external
 369 and potentially wholly inaccessible (as noted above, we many never
 370 be able to determine what some internal state of an organism means if
 371 the environmental conditions are somehow lost to us).

372 There is a parallel here with a more general criticism made by
 373 Fodor of externalist theories of content. Fodor has argued that, if we
 374 accept externalism about content, we could only really know the
 375 content of some mental state after our sciences of the external world
 376 were complete: 'the naturalistic psychologists [as Fodor calls those
 377 committed to an externalism about content] will inherit the Earth, but
 378 only after everybody else is finished with it' (1980, 248). Even those of
 379 us with sympathies for a causal-historical theory of reference (Kripke
 380 1972), and for Putnam's arguments for a linguistic division of labor
 381 (Putnam 1975), should recognize that Fodor's criticism is powerful.¹
 382 But the case is worse for the historical-etiological account of teleo-
 383 functions, since the kind of scientific natural kinds that motivate the
 384 externalist account of content that Fodor is criticizing are in principle
 385 confirmable (we derived tests to show water is H₂O, and may decide
 386 as a society to require that some of our linguistic division of labor be
 387 based in part on something like verifiability – in fact, arguably we
 388 have done this when we defer to scientists). The historical externalist
 389 account of teleofunctions must allow for meanings which can never
 390 be confirmed.

391 (2) *Consciousness*. Arguably the most promising program to
 392 explain phenomenal experience has been the representational theory
 393 of consciousness, in which phenomenal states are understood to be
 394 representational states (Tye 1996; Lycan 1996; in a distinct sense,
 395 Churchland 1989). However, if this view is coupled with an account
 396 of representations based on an etiological account of teleofunctions,
 397 then phenomenal experience becomes ontologically bizarre (see
 398 DeLancey 2002, 169ff). Consider the organisms with a divided pop-
 399 ulation described above, and suppose that S is a structure that allows

400 the organisms to perceive a shade of deep blue that those lacking the
 401 structure cannot perceive. In the population where this was selected
 402 for, there is a teleofunction of seeing deeper blue, and if we suppose
 403 that phenomenal experience supervenes on teleofunctions, there is an
 404 experience of seeing deeper blue. But the internal states of individuals
 405 in the other population are identical internally in the relevant re-
 406 spects, and yet there would be no such experience. This follows di-
 407 rectly from the claims that all phenomenal experiences are
 408 representations, and that all representations are teleofunctional
 409 states. This is a radical consequence: two organisms could have rel-
 410 evantly identical internal states, perform all the same relevant
 411 behaviors, and yet one is feeling pain or smelling strawberries or
 412 seeing blue, and the other is not.

413 (3) *Moral value*. One of the leading views in environmental ethics is
 414 biocentric individualism, the view that all individual organisms de-
 415 serve some moral respect because they have some of their own pur-
 416 poses (Taylor 1986; Varner 1998). On this view, it is purposes which
 417 are deserving of moral respect, which in turn means that we have an
 418 important interest in developing a better understanding of purposes.
 419 This can be seen as a problem in understanding teleofunctions, and
 420 Varner (1998) has the most carefully developed account of this kind:
 421 to understand what kinds of welfare interests an organism has, we
 422 identify the teleofunctions that it has. These then *prima facie* are
 423 activities which deserve some, albeit often relatively little, respect.
 424 However, when the teleofunction theory in use is historical etiological
 425 (as it is in Varner 1998), this account allows for many obvious dys-
 426 functions to have moral value. Certain features of an organism which
 427 have been explicitly selected for, but which obviously are harmful to
 428 the organism, must count as teleofunctions and therefore as welfare
 429 interests of the organism (see DeLancey 2004). For example, on-
 430 comice are transgenic organisms manufactured with a gene that
 431 causes them to develop rampant cancerous tumors. This gene has
 432 been explicitly selected for by researchers. And yet, although it is
 433 common sense that such a gene is dysfunctional, according to the
 434 historical etiological account it has a teleofunction, and according to
 435 the moral view that adopts this as an account of value, tumor
 436 development is a welfare consideration of these mice and deserves
 437 some moral respect.² This example is rather specific, but the problem
 438 here will generalize to many other kinds of cases where we grant that
 439 an organism's flourishing (including human flourishing) is in part
 440 constituted by achieving one's purposes, including biological pur-



441 poses. Note that the unverifiable nature of the historical etiological
 442 account means also that we can never be sure that an apparent defect
 443 is really a defect.

444 These three examples illustrate concrete cases where, as an
 445 explanation, the historical etiological account of teleofunctions yields
 446 difficulties that arise from the peculiar ontological status it gives te-
 447 leofunctions. Many different attempts to apply the theory will result
 448 in unrealistic and even absurd consequences.

3.

450 Both the recent-history (or changing teleofunctions) objection, and
 451 the ontological objection, reveal that what is needed is an account of
 452 teleofunctions that recognizes them as internal features of current
 453 utility to the organism. A number of accounts of teleofunctions based
 454 on the idea of an organism as a *system* have been developed to meet
 455 this end. Christensen and Bickhard contend that

456 Normative functional organization itself is analyzed in terms of the interdependen-
 457 cies of the processes that constitute the system. Each of the processes that form part
 458 of the system requires outputs from other processes in the system to function, and in
 459 turn contributes to the requirements of other processes. These process interdependen-
 460 cies constitute norms on adaptive functioning, since if the requirements of a
 461 particular system process aren't met it will cease to produce the outputs required by
 462 other processes, potentially resulting in propagating dysfunction that may reduce or
 463 destroy the viability of the system. (2002, 4)

464 In other words, teleofunctions are had by structures that perform
 465 certain kinds of sustaining activities in kinds of systems. Their nor-
 466 mative nature comes from the fact that (portions of) the systems that
 467 they constitute cannot exist or continue without those activities.
 468 Systematic teleofunctions have a kind of normative force then akin to
 469 a Kantian hypothetical imperative. The structure in question *should*
 470 do such and such activities *in order to* maintain (some portion of) the
 471 complex system to which it belongs. This same insight is developed by
 472 Schlosser (1998). Schlosser retains the Wright schema, and uses it to
 473 specify when a structure has a teleofunction for a system. His for-
 474 mulation, somewhat simplified, is

475 F is a teleofunction of structure or event, $S(t_1)$ iff:
 476 for a certain period of time, T , where $t_1 < t_2 < t_3$, and T
 477 ranges from before, t_1 to after t_3 ,

- 478 (1) $S(t_1)$ is directly causally necessary to establish $F(t_2)$ under circumstances c_1 .
 479 (2) $F(t_2)$ is indirectly causally necessary to establish $S(t_3)$ under circumstances c_2 .
 480 (3) The causal relations between $S(t_1)$, $F(t_2)$, and $S(t_3)$ are complex.

481 The basic insight is simple but powerful. What makes a structure or
 482 event S have a teleofunction F is that it plays a role in a complex
 483 system, such as an organism, that in turn allows and supports S ,
 484 including S doing F . An organism, for example, is a bootstrapping
 485 collection of teleofunctions, and this is what makes it both an
 486 organism and what makes some activities into teleofunctions. Sch-
 487 lossler puts this, ‘the *functionality* of a state can be defined as the
 488 *conditional necessity for complex self-re-production*’ (315). The
 489 observation that the causal relations must be complex has its clearest
 490 case when the relations are participating in systems that are complex
 491 (e.g., see Schlosser 1998, 305). A system is complex when there are
 492 many different kinds of teleofunctions, such as F , connected through
 493 these chains of dependency, and when the chains of dependency tend
 494 to vary. About this Christensen and Bickhard are more explicit, since
 495 their account is essentially linked to their explanation of what it is to
 496 be an autonomous system: ‘The central plank of our account is a
 497 theory of autonomous (or “self-governed”) systems that are com-
 498 posed of webs of interdependent processes whose collective activity is
 499 self-generating’ (2002, 3).

500 The systematic account makes clear what a system is, but it does
 501 not make it as clear what a *complex* system is. One might especially be
 502 concerned that there is no clear line between complex systems and
 503 trivial ones (see Nagel 1977, 273ff). This concern has been addressed
 504 in part by Schlosser (1998, 329ff), but two observations should be
 505 stressed here. First, complex systems are phenomena. We can point at
 506 them in the world – for example, all organisms are complex systems,
 507 and humans are very complex systems. For this reason, we should not
 508 expect a complete and final definition or characterization of complex
 509 systems. Rather, we need only to be able to identify them, and have
 510 some hypotheses about what makes them complex systems, and then
 511 we can investigate them empirically. We may revise our theories as we
 512 learn more. Second, since there are natural phenomena which are
 513 complex systems, and since the relevant kind of complexity is a
 514 property that comes in fine degrees, we should expect that there is no
 515 non-arbitrary line to be drawn between complex systems and other,
 516 simpler systems – just as, for example, there is no non-arbitrary line
 517 between a planet and an asteroid, or between a hurricane and a big

518 tropical storm. There are systems that satisfy all the other criteria
519 above except the stricture that they be complex. This should be
520 unsurprising: a naturalist account often results in there being no clear
521 division between certain kinds. It follows that there is no non-arbi-
522 trary line to be drawn between complex systems with structures that
523 have teleofunctions, and simple systems which we may want to deny
524 teleofunctions.

525 This does mean that we should revise Schlosser's schema, for it
526 refers only to complex relationships and not complex systems. There
527 are at least two reasons to believe this is too weak if it is mean
528 something other than the relationships of a complex system. First, I
529 argued above that there were phenomena (organisms) that are
530 complex systems, and therefore the requirement in the systematic
531 account that the relationships be complex need not be fully defined
532 but can rather refer to actual cases. However, if we drop the criterion
533 that these relations constitute an organism, we give up the ability to
534 refer to organisms as uncontroversial instances of complex systems,
535 and must give now an independent account of complex relations.
536 Second, reference to complex relations, and not complex systems,
537 will also require some account of how we are to pick out the relevant
538 types that play a role in (some applications of) the systematic theory.
539 It is quite clear what it means to say that a particular wolf's heart is
540 of a kind that are wolf's hearts – the complex system (of the kind
541 *Canis lupus*) picks out for us the relevant system, and this in turn
542 specifies the kinds that constitute the elements which we may see
543 being reproduced or sustained (e.g., hearts of *C. lupus*). But if we
544 drop reference to complex systems, we must have an explanation of
545 what the relevant kinds are that is independent of reference to
546 organisms or other complex systems that are granted as phenomena.
547 For these reasons, I will require, in what follows, that systematic
548 teleofunctions be identified in relation to complex systems, and not
549 just complex causal relations. (I return to this issue in Section V
550 below.)

551 These observations are relevant to some alternatives that have
552 been offered to the systematic account of teleofunctions. Carolyn
553 Price has recently proposed a revised historical etiological account
554 that requires of any teleofunction that early instances of its kind
555 supported another structure which performs some activity that in
556 turn supports the first kind. We can understand this as a simple, two
557 step complexity requirement.

558 Where d is an item and F is an activity, d has the function to do F if and only if there
 559 is some family of items G ; there is some family of items D , to which d belongs, and
 560 which consists of items produced by a member of G in some manner M ; there is some
 561 item g which belongs to G and which produced d by M ; and there is some activity E ,
 562 such that:
 563 CD.1 Members of D have done F in the recent past.
 564 CD.2 The fact that g produced d by M is explained partly by the fact that the doing
 565 of F by members of D in the recent past assisted g , or other members of G that
 566 are ancestors of g , to do some further thing E . (Price 2001, 36)

567 Alternatively, Price allows that g does not produce but rather
 568 maintains d . Price holds that this account is superior to a systematic
 569 account because, she claims, it can rule out a kind of problematic case
 570 that the systematic account cannot. The kind of case is one intro-
 571 duced by Bedau (1991), in which crystals in a stream bed are slowly
 572 forming. If these form into ‘chunky’ shapes, they can even slow the
 573 water flow around them, and increase thereby the rate of their for-
 574 mation. Price argues with Bedau that crystal formation should not be
 575 seen as a case of a teleofunction. As stated in a preliminary way
 576 above, her theory cannot rule it out, since first her theory allows that
 577 G and D can be the same, so that one layer of crystals could count as
 578 an instance of D for the next, which would count as an instance of G ,
 579 and so on; and second, if the water is slowed then this may count as
 580 the second (and a different) activity that is both caused by and sup-
 581 ports crystal formation. Price’s responses are to pursue each of these
 582 problems in turn.

583 First, Price requires that ‘affecting the producer g should not
 584 include bringing it into being’ (39). Presumably in at least some cases
 585 ‘affecting the producer’ or ‘assisting the producer’ is a necessary
 586 condition for g to exist, since Price does not rule out that things of
 587 kind D may be structures with a teleofunction which supports directly
 588 or indirectly the existence of things of kind G , including assisting in
 589 their production. This is evident in Price’s own examples of a thing of
 590 kind G (which she calls a ‘governor’): ‘The governors of the heart will
 591 include those organs that, like the liver, benefit directly from the
 592 operation of the circulatory system’ (2001, 38). The liver is of course
 593 supported by the circulation done by the heart, so that without the
 594 heart the liver both cannot continue its teleofunctions and it cannot
 595 be reproduced. The heart thus contributes to sustaining a liver and to
 596 bringing other livers into being, since its teleofunction is necessary for
 597 all reproductive activity. In such cases, this rule that the governor
 598 cannot be ‘brought into being’ by the structure it governs is nothing

599 more than the requirement that things of kind *D* cannot be alone
 600 sufficient for the development of things of type *G*. But that is just to
 601 require that there are other features (other things than structures of
 602 type *D*) of the system contributing to the bringing into being of things
 603 of type *G*. For the many cases where *d* will be a structure that con-
 604 tributes to the survival and reproduction of the organism, this
 605 restriction against ‘bringing into being’ is nothing more than a
 606 requirement that the relationship(s) that sustain and reproduce things
 607 of type *G* be complex.

608 Second, Price claims she needs to also account for the two step
 609 case in Bedau, where (following the schema above) the crystal is to
 610 act as a *d* and some feature of the stream itself is to act as *g*. Price
 611 argues for what she calls ‘the immediacy condition’: ‘where a device *d*
 612 is present because earlier devices of the same type did *F*, *d* will have
 613 the function to do *F* only if there is nothing else that those earlier
 614 devices did that provides a more immediate explanation for the
 615 presence of *d*’ (58). What is an ‘immediate explanation’? Price gives a
 616 clarifying example: ‘Compare: I frightened the burglar by turning on
 617 the light, by flicking the switch, by moving my finger; but I did not
 618 frighten the burglar by entering the room’ (59). The example suggests
 619 that we should understand by ‘immediate explanation’ the last step in
 620 the causal chain that is necessary for the event in question. This seems
 621 a potentially important insight, but it also does not seem to apply to
 622 the test case that Price has picked out. Price argues that this solves the
 623 Bedau case because ‘there is something else that the crystals do that
 624 provides a more immediate explanation for their survival – namely to
 625 replicate’ (60). This seems to trade upon an ambiguity between the
 626 growth of crystals and the survival of some layer of crystal – that is,
 627 layer 1 of crystal may immediately explain the formation of layer 2 of
 628 crystal, but this does not explain the survival of layer 1. Furthermore,
 629 it appears we can rephrase Bedau’s example very slightly to retain the
 630 same problem. If we are to admit some features of the stream as a
 631 structure, then if one lets the arrangement into the crystal lattice of
 632 local molecules of the appropriate type be the activity *F*, and the
 633 moving of the molecules of the appropriate type near the existing
 634 lattice be activity *M*, and the slowing of the water flow be activity *E*,
 635 then we appear to have satisfied the schema as given above and still
 636 retained the plausible immediacy condition. But such concerns are
 637 secondary to the real issue. We need not be fixated on the details of
 638 Bedau’s case. We need only one two-step case to demonstrate that
 639 Price’s single-governor condition is not yielding a satisfactory

640 account of teleofunctions. There very likely are instances of two or a
641 very few individual structures alone each supporting the other, such
642 that with just two or a very few kinds we have the satisfaction of the
643 teleofunctional schema that Price provides. An example might be two
644 chemicals in a solution each of which in a different way is sometimes
645 necessary, perhaps as a catalyst, for the production of the other. Price
646 has not shown that her account rules out any such case where there
647 are just two or three or a very few interdependent processes, but of
648 course any such very simple case is going to be as counter-intuitive as
649 an example of purposively behavior as is Bedau's case.

650 What is needed to overcome Bedau's example and other kinds of
651 simple cases is the recognition that there is no difference in kind, but
652 rather in quantity, between such cases and the teleofunctions of an
653 organism. Crystal formation and the slowing of the water should not
654 count as teleofunctions (or should count as trivial or degenerate
655 teleofunctions – this is a matter of semantics now, not of substance)
656 because the relationship is a simple one, of just two interlocked
657 activities. The systematic account will require that the relationships
658 be complex; this will include that the various interdependent teleo-
659 functions will be of distinct kinds (replacing Price's requirement that
660 the relationship not be one of 'bringing into being'). This directly
661 confutes Price's claim that the problem with a systematic account is
662 that it cannot handle the Bedau case because 'there will be no
663 guarantee that the part of the system that is responsible for repli-
664 cating the device is not identical with the device itself' (41). If it is
665 identical, then the system is not complex. Thus, the systematic
666 account alone offers a clear explanation of how to interpret these
667 trivial or degenerate cases in such a way that they are not successful
668 examples of a *reductio ad absurdum*.

669 The systematic account of teleofunctions admirably avoids both
670 the recent-history objection and the ontological objections. Since the
671 teleofunction of some structure at some time is its present and
672 ongoing performance as part of the relevant kind of system, no
673 problem arises for any change in the teleofunction of a structure from
674 any function it may have been selected for; it is the current role, and
675 not the selected one, that matters. And, since the purpose of the
676 relevant structure is internal to the relevant system(s), there is no
677 ontological problem regarding their status – the conditions of being a
678 teleofunction are real states of the system or systems involved, and
679 the relevant causal activity must be internal to this system or the
680 immediate environment and can be confirmed as such. Thus, the



681 systematic account can handle the three different examples given as
 682 specific consequences of the ontological problem. Any ascription of
 683 content based on a systematic teleofunction cannot refer to items
 684 external to the system unless they are immediate and measurable
 685 environmental conditions (as opposed to, say, distant historical
 686 conditions) to determine the actual activity of the teleofunction itself.
 687 We may decide to adopt some externalist criteria in our semantics,
 688 but any such commitment cannot be part of what it means to be a
 689 teleofunction for the relevant structures involved. Similarly, since
 690 we've abandoned historical external determination of the teleofunc-
 691 tions, any feature of consciousness arising from or otherwise deter-
 692 mined by teleofunctions will be determined by aspects that must be
 693 internal to the relevant system, since only these aspects constitute the
 694 teleofunction. Finally, there is no possibility of defective structures
 695 that were selected counting as having teleofunctions and therefore, on
 696 some accounts, as having value deserving some respect. To play a
 697 teleofunctional role such structures must support the system itself,
 698 rather than, as in the counter example discussed, serve ends or
 699 otherwise satisfy criteria of purposefulness that are wholly external to
 700 the relevant system.³

4.

702 I have argued that the historical etiological account proposes prop-
 703 erties (radically external and unverifiable teleofunctions) that have
 704 ontological features a naturalist should shy away from; and that for
 705 this reason the systematic account, which lacks these problematic
 706 features, is a better explanation. But although superior to the his-
 707 torical etiological account, the systematic account of teleofunctions
 708 hides an important ontological distinction, and one which could give
 709 rise to its own difficulties. Schlosser recognizes that the structure or
 710 event *S* referred to in the schema must be a *type*. It is not hard to see
 711 why this is so. No individual sperm acts in such a way that it causes
 712 its own self-reproduction. Rather, for some species, their sperm is
 713 necessary for the production of other organisms, some of which in
 714 turn can create structures of that type (other sperm), or which can
 715 have offspring which can do so. But now we can see that there is a
 716 different ontological issue buried here, for there is a profound dif-
 717 ference between at least two kinds of teleofunctions that are recog-
 718 nized in Christensen and Bickhard's account, or that can satisfy

719 Schlosser's schema. First, there are what Schlosser calls 'intra-generational functions.' These are individual structures which are in turn
 720 actually sustained, albeit indirectly, by their own activity. For
 721 example, a particular wolf's heart pumps blood. This in turn allows
 722 this wolf's lungs to aerate that blood, which in turn supports the
 723 functioning of the brain, the stomach, and so on, all of which in turn
 724 support this particular heart by supplying it with oxygen, nutrients,
 725 the appropriate neural inputs, and so on. Second, there are what
 726 Schlosser calls 'cross-generational functions'. One example is the al-
 727 ready-mentioned sperm. Our particular wolf, assuming it is a male,
 728 has sperm that in no way can sustain themselves. Rather, a very tiny
 729 number of them (on average, a few more than two out of many
 730 millions) can create offspring which either will create sperm or may
 731 create offspring that will. In this case, what is reproduced are more
 732 instances of the kind.
 733

734 Stated more fully, Schlosser's schema should read:

- 735 *F* is a teleofunction of structure or event type *S* at t_1 iff:
 736 for a certain period of time *T*, and where $t_1 < t_2 < t_3$, and *T* ranges from before t_1 to
 737 after t_3 ,
 738 (1) An instance of *S*, $s_1(t_1)$, is directly causally necessary to establish $F(t_2)$ under
 739 circumstances c_1 ,
 740 (2) $F(t_2)$ is indirectly causally necessary to establish an instance of *S*, $s_2(t_3)$ under
 741 circumstances c_2 ,
 742 (3) The causal relations between $s_1(t_1)$, $F(t_2)$, and $s_2(t_3)$ are complex.

743 This makes more clear that there can be two very different kinds of
 744 systematic teleofunctions. First, there can be cases where $s_1=s_2$; these
 745 are the clearest cases of intra-generational instances. Second, there
 746 can be cases where s_1 and s_2 are different, and share only that they are
 747 of the same kind *S*; these are the clearest cases of cross-generational
 748 instances.

749 Thus, the systematic approach currently does not make an explicit
 750 and significant distinction between these two kinds of cases. Also,
 751 when the case of cross-generational instances do arise, the systematic
 752 account (restricted to the teleofunctions of structures) allows that
 753 there is a system or set of complex relationships that stretches across
 754 individual complex systems. Both of these moves are mistakes: the
 755 systematic account must make explicit distinctions between these
 756 kinds, and it should do so without referring to new (and, I will argue,
 757 problematic) kinds.

758 First, it is a mistake to obscure, by way of using a single general
 759 account, the difference between cross-generational and intra-genera-



760 tional teleofunctions. The two situations are significantly different. A
 761 particular wolf's heart is an actual object, which bears through time a
 762 series of actual causal loops that constitute a self-sustaining rela-
 763 tionship to itself. There is an enduring systematic relationship be-
 764 tween actual individual structures, and what gets sustained is one of
 765 these structures. Here the term *systematic* teleofunctions has its
 766 clearest application, since these elements continue to be parts of one
 767 particular system. On the other hand, when a particular wolf's sperm
 768 makes possible another wolf which can produce sperm, the rela-
 769 tionship is very different indeed. No particular sperm is sustained by
 770 the elements of the system(s) which that sperm sustains. Thus, not
 771 individual objects, but rather a series of instances of a type are pro-
 772 duced. One might say that it is a kind or type, as opposed to an
 773 individual, which is 'sustained'. But a kind reproducing or sustaining
 774 itself is at most a metaphor for a particular reproducing another
 775 particular of its own kind. That is, we do not want to say that a kind
 776 is sustaining itself as a kind – there are no causal loops from a kind
 777 back to that kind, since there can be no causal connections to kinds,
 778 only to particulars.

779 If we return to some of the examples considered in Section II to
 780 illustrate concrete applications, then one can also make explicit the
 781 two distinct kinds of situations involved here. The examples of
 782 explaining content, and any value that may attach or depend on
 783 teleofunctions, are sufficient.

784 (1') *Content*. In terms of representational content, a guiding con-
 785 cern in any explanatory theory is what kind of context is required to
 786 identify that content. We may have a rhesus monkey that is trained to
 787 perform some visual identification task, choosing different kinds of
 788 shapes over others to get a reward. It is a reasonable hypothesis that
 789 we should be able to explain the relevant representational content in
 790 terms internal to the monkey. Given the relevant and stable stimuli,
 791 we would refer to things like the patterns of activation in the visual
 792 cortex of the individual monkey in question. The relevant activity
 793 may then be wholly self-sustaining, or what Schlosser calls 'intra-
 794 generational'. However, it is easy to find examples which demand a
 795 wider context. A rhesus monkey raised in isolation can transmit by its
 796 emotional facial expressions significant information to other mon-
 797 keys, and though these monkeys raised in isolation fail to recognize
 798 the meaning of the facial cues of other monkeys as well as do the
 799 monkeys raised in a social setting, these isolated monkeys still showed
 800 facial affective cues that other monkeys can recognize and properly



801 understand (Miller, et al. 1971; see also Chevalier-Skolnikoff 1973).
 802 Presumably then the function of facial expressions in these organisms
 803 must be in part explained by reference to their social role, and full
 804 competence in using them must require some socialization, while it is
 805 also evident that some of the relevant structures are inherited. This
 806 means that a full explanation will need to refer to how some struc-
 807 tures can have an inherited teleofunction which may not directly
 808 sustain the individual or the relevant individual structures with that
 809 capability, but also it will need to refer to structures that can be
 810 understood fully in internal terms. These are substantially different
 811 projects. In fact, they would be farmed out to different kinds of
 812 scientific disciplines, with different methods and subject matters.
 813 Given that the phenomena are at least in part dictating the differences
 814 in methods employed, should not the systematic teleofunction
 815 account respect these differences by clarifying what they are?

816 (3') *Moral Value*. Similarly, if we ascribe like some biocentric
 817 individualists to the claim that the purposes of an organism deserve
 818 *prima facie* respect, we would still typically want to distinguish
 819 between purposes which served the organism in an immediate way,
 820 perhaps even being necessary to its own survival or at least to its
 821 flourishing, and purposes which were had by some population, per-
 822 haps even the whole species of the organism. Another way to make
 823 this point is to recognize that one of the most fundamental (and
 824 contentious!) issues in ethics regards our duties to future generations.
 825 Many ethicists believe that our duties to existing individuals outweigh
 826 our duties to future ones. Similarly, many ethicists would argue that
 827 there is substantial difference between, on the one hand, harm to me
 828 that reduces my lifespan, and, on the other hand, 'harm' to my off-
 829 spring done by reducing my chances for reproduction. There is little
 830 consensus in ethics, of course, and so for any position on these issues
 831 there is disagreement. But, regardless of whether we adopt one or
 832 another position in this debate, *if the debate is coherent*, then *prima*
 833 *facie* we must grant some importance to the distinction between the
 834 sustaining, and the reproduction, of some systems or purposes.

835 Second, if we restrict the explanation of systematic teleofunctions
 836 to complex systems, as I have recommended, then the current sys-
 837 tematic account must treat both cross-generational and intra-genera-
 838 tional instances as each being parts of different kinds of systems. At
 839 first consideration, it may seem that this is beneficial for its perspi-
 840 cuity in specifying the teleofunctions in one general format. However,
 841 it would be more perspicuous, and less problematic, to instead pro-



842 pose one kind of system with two accounts. As the account stands
 843 now, for an intra-generational teleofunction specific to one organism,
 844 such as the heart of a wolf, the system is the intuitively clear wolf
 845 itself. However, for something like the wolf's sperm, if our account is
 846 to refer to one system, then that system must be something like the
 847 species of the wolf or some other population of wolves. But although
 848 we understand that a wolf is a complex system, the sense in which the
 849 species or some population is a complex system of the appropriate
 850 kind is much more difficult to discern. These systems are very distinct.
 851 The individual wolf is a relatively stable system sustained over time.
 852 It has a number of clear bounds, such as its skin. Also, it can
 853 reproduce other particular organisms which are like it in important
 854 ways. These are all features which are examples of an autonomous
 855 system. The species or some other population, instead, does not
 856 reproduce, but changes over time. It has no clear boundaries. Its form
 857 is very indistinct, and is likely determined not (as is an individual
 858 organism) by a stable internal form but rather by the similarity of its
 859 members. These differences are problematic for the normative aspect
 860 of systematic teleofunctions, which arises because the teleofunctions
 861 are required to sustain the relevant system. This is quite clear in the
 862 case of an individual organism, where some structure performs some
 863 teleofunction that contributes to the continuing existence of the
 864 complex system that it in part composes. But this not clear for a
 865 system that stretches across some population. The population is
 866 loosely defined, with no clear boundaries, and can be divided, or can
 867 be melded with other populations. What are the dependencies which
 868 create (hypothetical) norms, and what is the thing that is being sus-
 869 tained? There is an analog with evolutionary theory here: there may
 870 be biological functions which serve a population, even a species, but
 871 they are difficult to discern and remain controversial. The biological
 872 functions devoted to producing similar offspring, however, are rela-
 873 tively uncontroversial.

874 These differences are not sufficiently explored in the systematic
 875 account. If we change the schema to refer to a single complex system,
 876 then we are forced to confront this new ontological challenge since
 877 we must either posit several systems or one system that stretches
 878 across organisms. Without controversy, we can say that an organism
 879 is a complex system of the relevant kind, what Christensen and
 880 Bickhard call an autonomous system; but can we say the same of a
 881 species? Christensen and Bickhard are explicitly committed to this:
 882 'living organisms in general are autonomous systems, as are repro-



883 ductive lineages, species, and some kinds of biological communities?
 884 (2002, 3). Christensen and Bickhard see self-sustenance and
 885 self-reproduction as just two ways for processes to perpetuate
 886 themselves.⁴

887 We need to recognize explicitly at least two different kinds of cases
 888 of systematic teleofunctions; but, if we are to treat those teleofunc-
 889 tions that stretch across organisms as belonging to one system, a new
 890 ontological challenge arises. We need, at the very least, an account of
 891 how these very different kinds of cross-organism systems are to be
 892 specified, what makes them a system, how they are similar to
 893 organisms themselves, in what sense they can sustain themselves in
 894 any other way than producing more individuals, and so on. However,
 895 although a species or a population may be in some senses a complex
 896 system that shares some interesting features with individual organ-
 897 isms, it is not necessary to refer to such a mysterious system to de-
 898 velop a more satisfactory systematic account of teleofunctions. We
 899 can make explicit the difference between these two kinds of teleo-
 900 functions while adopting the more perspicuous approach of positing
 901 only one kind of complex system (in the biological cases, single
 902 individual organisms).

903 What needs to be identified, therefore, are two different kinds of
 904 systematic teleofunctions, without reference to new kinds of complex
 905 systems constituted by populations of organisms. To draw attention
 906 to, and to clarify, this difference, I suggest an alternative terminology:
 907 self-sustaining, and self-reproducing, teleofunctions. Revising
 908 Schlosser's schema, self-sustaining teleofunctions would then be
 909 defined as:

- 910 *F* is a *self-sustaining teleofunction* of structure type S^5 iff:
 911 for a certain period of time T , and where $t_1 < t_2 < t_3$, and T ranges from before t_1
 912 to after t_3 ,
 913 (1) There is an instance of S , $s(t_1)$, directly causally necessary to establish $F(t_2)$ under
 914 circumstances c_1 .
 915 (2) $F(t_2)$ is indirectly causally necessary to sustain $s(t_3)$ under circumstances c_2 .
 916 (3) The causal relations between $s(t_1)$, $F(t_2)$, and $s(t_3)$ are part of a single complex
 917 system.

918 And self-reproducing teleofunctions would be defined as

- 919 *F* is a *self-reproducing teleofunction* of structure type S iff:
 920 for a certain period of time T , and where $t_1 < t_2 < t_3$; and where T ranges from
 921 before t_1 to after t_3 ,
 922 (1) There is an instance of S , $s_1(t_1)$, that is directly causally necessary to establish
 923 $F(t_2)$ under circumstances c_1 .



- 924 (2) $F(t_2)$ is indirectly causally necessary to establish some instance of S , $s_2(t_3)$ under
 925 circumstances c_2 .
 926 (3) The causal relations between $s_1(t_1)$, $F(t_2)$, and $s_2(t_3)$ are part of at least one
 927 complex system; if they are part of more than one complex system, then these are
 928 of the same type of complex system.
 929 (4) It is not the case that $s_1=s_2$.

930 Here “complex system” in the case of biological organisms will refer
 931 to individual organisms; there is no reference to the species or any
 932 other population as a complex system. As already noted, this is not to
 933 deny that a population may be a kind of complex system, but rather
 934 to recognize that it is not necessary to include such a system in order
 935 to identify the kinds of self-reproducing teleofunctions under con-
 936 sideration here. Structure types are identified by reference to the type
 937 of complex system involved (e.g., heart of *C. lupus*). The note in
 938 clause (3) that there may be just one complex system involved recog-
 939 nizes that there could be self-reproducing teleofunctions within one
 940 system. Clause (4) is here to make explicit that the relevant relations
 941 are not self-sustaining – no one structure is sustaining itself in this
 942 description.

5.

944 I conclude that adopting these two accounts, and therefore positing
 945 two kinds, of systematic teleofunctions avoids the issues raised for
 946 explaining the cross-generational kinds of teleofunctions. Call such a
 947 systematic account a *splitting account*, in contrast to the kind of
 948 *unified account* in Christensen and Bickhard or Schlosser; my con-
 949 tention is that a *splitting account* is a better explanation than is a
 950 unified account. However, it is important to note that this is not an
 951 argument that the unified accounts are demonstrably false. Further-
 952 more, my account limits teleofunctions to structures, and it requires
 953 that the complex relationships in question are specified in reference to
 954 a complex system, the best examples of which are organisms. Easing
 955 either of these limitations suggests alternative ways that a unified
 956 systematic account may be developed. It is necessary then to elabo-
 957 rate my arguments against using in the account either events or
 958 complex relationships (that may not be complex systems). This also
 959 provides an opportunity to rephrase the argument for the *splitting*
 960 account in the form of a challenge to unified accounts.

961 First, if we allow that events may have teleofunctions, we might
 962 cover all or almost all cases of teleofunctions with an account akin to

963 the cross-generational account as presented here⁶ (one can read
964 Christensen and Bickhard in this way). An event instance of the
965 wolf's heart beat has the teleofunction of pumping blood, and this
966 would be because that beat, through a complex chain of events, ends
967 up being necessary for some later heart beat. This way of describing
968 the situation satisfies Schlosser's schema by way of granting to the
969 heart beats of an individual organism the property of being cross-
970 generational teleofunctions. The story is about types all the way
971 through, and there is no obvious difference between the details of this
972 case and a cross-generational one where we are concerned with
973 several organisms (as in the case of sperm). Thus, we appear to avoid
974 the problematic nature of referring to species (or other kinds of
975 complex systems) as complex systems by focussing instead on events
976 alone.

977 Second, if like Schlosser one admits not systems but just any
978 complex web of self-reproduction as defining the teleofunction, there
979 may be no substantial difference in kind between such webs within
980 and across organisms. Thus, we seem to avoid the problematic issues
981 by not admitting species or other kinds-as-systems into the account.
982 There is just one relevant kind, stretching perhaps across individual
983 organisms.

984 It is my contention that in either of these cases, the unified account
985 depends implicitly on the structures of individual organisms. In the
986 first approach, we can specify the relevant event kinds in either the
987 cross-generation or intra-generation cases only with reference to
988 particular structures, which in turn we specify only in reference to
989 individual complex systems (organisms). I start by having identified
990 the organism(s) in question as the phenomenon under observation;
991 then I identify its organs, such as a heart; I observe the heart is
992 pumping blood; I trace out some of the relationships of this structure
993 to others in the organism, including especially the relationships that
994 depend upon it pumping blood; and thereby identify causal loops
995 within the organism. Only then can I identify the role of an individual
996 heart beat. The individual heart beat event is necessarily individuated
997 in terms of a structure (this heart), which is in turn individuated in
998 terms of the complex system it in part constitutes (this particular
999 organism, of kind *C. lupus*). Once I have done all this, I have enough
1000 information to identify chains of events and to begin to develop the
1001 cross-generation event account.

1002 In the second approach, we can specify complex relationships only
1003 by picking out events or structures which are related in certain ways.



1004 But first picking out these structures or events requires us then to pick
 1005 out individual complex systems or kinds of complex systems
 1006 (organisms or organism kinds). If I want to say that the teleofunction
 1007 of this particular sperm is to inseminate an egg, and we can see this
 1008 because it in part results in the reproduction of other sperm of the
 1009 same kind (in a male heir), I need to identify the sperm in question,
 1010 the other structures with which it interacts (e.g., an egg), and the later
 1011 instances of the same kind that it may produce. I will do all of these
 1012 things by identifying structures (including sperm and eggs), and these
 1013 in turn are identified in reference to the kind of organism. The type of
 1014 organism also helps me to identify the relevant cases of later instances
 1015 of a same kind (two sperm are of the same kind if they are sperm of
 1016 the same kind of wolf). The complex relationships that might consti-
 1017 tute a teleofunction cannot be specified without reference to
 1018 structures which have the relevant capabilities, and these in turn are
 1019 specified in relation to the structures they in part compose, ultimately
 1020 the complex systems themselves.

1021 In both cases, I begin by identifying complex systems (organisms),
 1022 then identify their constituent structures (organs) and their activities,
 1023 and how these activities form networks of dependencies between
 1024 them and throughout the organism. If I aim to use an event ontology,
 1025 this allows me to add to my account the events that these structures
 1026 enable or cause, and then I lay down an event ontology based upon
 1027 these distinctions. If I aim to identify complex relations which are
 1028 simpler than or not bounded to any one complex system, this allows
 1029 me to add to my account a specification of some network of activities
 1030 and dependencies which may be other than the organism. But in
 1031 either approach, *I have done all the work required to satisfy the*
 1032 *splitting account before I can complete a unified account.* That is, these
 1033 unified account alternatives depends upon, *and build on top of*, all the
 1034 distinctions that are sufficient to make a structure-based splitting
 1035 account. If we have identified complex systems, identified the struc-
 1036 tures in them and their activities, and mapped out interdependencies
 1037 between structures in terms of those activities and under certain
 1038 identified conditions, I have all the elements required to satisfy either
 1039 one or the other definition of teleofunctions as given in the splitting
 1040 account. To add to it a description of a series of events, or a
 1041 description of a complex set of relationships, is unnecessary.

1042 Since the splitting account I describe is sufficient, and is also sat-
 1043 isfied by the implicit work that goes into preparing the way for either
 1044 of the unproblematic forms of the unified account, Ockham's razor

1045 cuts in favor of the splitting account alone. This then poses a chal-
1046 lenge to the unified account: a viable unified account must explain
1047 how we are to identify events or complex relations without reference
1048 to individual organisms and their structures; or, it must explain what
1049 benefits the account has which make it preferable to the simpler
1050 splitting account. If the unified account cannot do either of these,
1051 then the splitting account is preferable both because it is simpler and
1052 because it is already implicitly satisfied in the preliminary work re-
1053 quired to develop a unified account.

6.

1055 I have described and solved two kinds of ontological problems for
1056 explaining teleofunctions. I have argued that each of these problems
1057 can arise when the goal to provide an acceptable description of
1058 teleofunctions (where no term refers to a kind a naturalist would
1059 refuse) may incline us to overlook other naturalist concerns (such as,
1060 is the property described of a kind that a naturalist should admit into
1061 her ontology?). In the case of the historical etiological account, this
1062 led to a theory which allows properties which may lack the appro-
1063 priate causal relations, and which may be determined by completely
1064 unverifiable properties. In the case of the unified systematic account,
1065 the problem was that the unity of a single account required the
1066 introduction of a controversial kind of system, species or popula-
1067 tions; or it already presupposed everything needed for a splitting
1068 account before it could be constructed. The systematic account is a
1069 better explanation for avoiding both of the problems of the historical
1070 etiological account, and when revised into two explicit forms based
1071 on a single kind of complex system (individual organisms), the ac-
1072 count provides an explanation of teleofunctions of great explanatory
1073 power which avoids the pitfalls of the alternative systematic accounts
1074 or is simpler than them.

1075 Many kinds of explanations will require reference to both kinds of
1076 systematic teleofunctions. As noted in the case of the monkey affec-
1077 tive facial expressions, where an inherited signaling systems and also
1078 some important learning component are apparently involved, one can
1079 predict that there are interacting combinations of self-sustaining and
1080 self-reproducing teleofunctions. A complete scientific account may
1081 need to refer to both kinds in explaining this one group of phe-
1082 nomena. By recognizing at least two kinds of systematic teleofunc-



1083 tions, we gain in realism and power of explanation what we relinquish
1084 in apparent unity.

1085 As an explanation of the kinds of things that teleofunctions are,
1086 the systematic account has been shown to be a better explanation
1087 than the historical etiological account. However, it is important to
1088 note in closing that significant challenges remain for the systematic
1089 approach. The historical etiological account has been used to develop
1090 compelling accounts of a range of semantic properties, including
1091 meaning and truth (Millikan 1984, 1993; Price 2001). In these ac-
1092 counts, the externalism of the historical etiological account can be
1093 made into a virtue, by potentially providing concise (even if unveri-
1094 fiable) determination of the role of some structure. Ultimately, if we
1095 are to replace the historical etiological account with a systematic
1096 account (we might, of course, chose to keep both, but for different
1097 purposes), a full defense of the claim that the systematic account is
1098 preferably will require a demonstration that it is equally powerful
1099 where required. Semantics is the most likely and important candidate
1100 for such a requirement, since representations and other semantic
1101 properties are normative and thus may be explained by a teleofunc-
1102 tional explanation. This will mean that defenders of a systematic
1103 account must either show that semantics does not need much of the
1104 work that the historical etiological account can do for it, or show that
1105 the systematic account can do as much. Either project is a substantial
1106 task for future research.⁷

NOTES

1108 ¹ Granting that Fodor identifies a problem with the kind of unreserved externalism
1109 that is seen in Millikan does not then mean that one must endorse methodological
1110 solipsism. It is plausible that the systematic account of teleofunctions developed
1111 below could allow that some structure may have causal-historical reference as a
1112 teleofunction; but the referent or referential content in such a case could not explain
1113 the actual activity of the teleofunctional structure.

1114 ² An obvious response is to say that teleofunctions must arise naturally, but the
1115 division between artificial as opposed to natural teleofunctions in these cases is
1116 untenable. For example, humans sometimes choose their mate on the basis in part of
1117 inherited features (e.g., an appearance judged to be healthy), but I take it that we
1118 would not then deny their offspring some teleofunctions because the relevant
1119 structural features were chosen. For a consideration of this and other objections see
1120 (DeLancey 2004).

- 1121 ³ This is not to deny that a system may have teleofunctions which can sometimes
 1122 conflict, and thus under some conditions a structure that is otherwise beneficial could
 1123 be dysfunctional.
- 1124 ⁴ Conflating or ignoring the difference between these kinds of systems would also
 1125 foster a pending problem for any future attempts to explain the nature of life and
 1126 autonomy, since these systems are different but have important interrelationships.
 1127 For example, following von Neumann's dream to develop a mathematical theory of
 1128 life, Gregory Chaitin has used his developments in complexity theory to suggest that
 1129 organisms are systems that have more compact descriptions as a whole than do the
 1130 sum of their parts (1970, 1979). Suppose this suggestive hypothesis turns out to be
 1131 right; can the same be said of some population or the species? This open question
 1132 should remind us that in terms of *prima facie* observation – basic phenomenology,
 1133 one might say – we have reason to suspect that the two kinds of things are very
 1134 distinct.
- 1135 ⁵ My focus has been on individual structures. We could specify these schema as
 1136 identifying the teleofunction F of individual structure s_1 , and recognize that we say
 1137 structure type S has the teleofunction F as a generalization over some instances.
 1138 However, here Schlosser's restriction to conditions c_1 and c_2 does double duty, and is
 1139 sufficient to allow reference to the purpose of a type of structure, since presumably
 1140 once we specify the same conditions, the same kind of structure is going to perform
 1141 the same kinds of actions.
- 1142 ⁶ I am indebted to an anonymous reviewer for this observation.
- 1143 ⁷ I am indebted to two anonymous reviewers for *Synthese* for insightful
 1144 comments.

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